Attorney Docket No.: 09857-0073001

OFFICIAL COMMUNICATION FACSIMILE:

OFFICIAL FAX NO: (571) 273-8300

RECEIVED GENTRAL FAX CENTER DEC 03 2009

Number of pages including this page

Applicant: Steven M. Bloom, Michael S.

Art Unit : 3693

Spector and John L. Jacobs

Examiner: Sara M. Chandler

Serial No.: 10/001,900

Filed

: November 14, 2001

Title

: Balancing Arbitragable Tracking Securities

2

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

A Confirmation of Notice of Hearing dated December 3, 2009 is attached.

Respectfully submitted,

Date: December 3, 2009

Reg. No. 29,670

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110

Telephone: (617) 542-5070

Fax: (617) 542-8906

22315900.doc